

CALIFORNIA CODE OF REGULATIONS
TITLE 4. BUSINESS REGULATIONS
DIVISION 4. CALIFORNIA HORSE RACING BOARD
ARTICLE 4. OCCUPATIONAL LICENSES
PROPOSED AMENDMENT OF RULE 1481, OCCUPATIONAL LICENSES AND FEES

1481. Occupational Licenses and Application Fees.

No person required to be licensed shall participate or attempt to participate in a race meeting without holding a valid license authorizing that participation.

(a) A person acting in any capacity within the restricted area of an inclosure, simulcast facility or auxiliary stabling area shall procure the appropriate license(s) and pay the application fee required.

(b) A person acting in any of the following capacities shall procure the appropriate license(s) and pay the application fee required:

- (1) ~~Horse Owner by Open Claim.....~~ \$250
- (2) ~~Officer, Director, Partner, or any individual or person who holds 5% or more of the outstanding shares of an advance deposit wagering, mini-satellite wagering provider, racing association, simulcast service supplier, or totalizator company.....~~ \$200
- (3) ~~Horse Owner.....~~ \$150
- (4) ~~Trainer, Assistant Trainer, Driver, Jockey, Apprentice Jockey, Jockey Agent.....~~ \$150
- (5) ~~Veterinarian.....~~ \$150
- (6) ~~Steward, Associate Steward, Steward (Veterinary Service), Simulcast Facility Supervisor, Assistant Simulcast Facility Supervisor, Racing Official, Administrative or Managerial personnel including General Manager of a racing association, advance deposit wagering, mini-satellite wagering provider, simulcast organization or an intrastate guest association, who exercise control over other licensees, horse racing, pari-mutuel wagering, simulcast operations, or whose duties routinely require access to restricted areas of the inclosure.....~~ \$150
- (7) ~~Bloodstock Agent.....~~ \$150
- (8) ~~Valet, Jockey Room or Drivers' Room Attendant or Custodian or Service Person, Colors Attendant, Paddock Attendant.....~~ \$75
- (9) ~~Assistant to a Racing Official or Official, Assistant General Manager of a racing association, simulcast organization or an intrastate guest association, Assistant Starter,~~

Assistant to the Veterinarian, Assistant Manager, Announcer, Paymaster of Purses, Superintendent, Starting Gate Driver, Flagman, Marshal, Stewards' Aide.....	\$75
(10) Exercise Rider, Pony Rider, Outrider.....	\$75
(11) Horseshoer, Stable Agent, Vendor or Vendor's Employee when duties require access to the restricted area, Stable Foreman.....	\$75
(12) Pari-mutuel Employee, Totalizator Technician, Video Operator, Photofinish Operator.....	\$75
(13) Security Officer, Security Guard, Stable Gateman, Fire Guard, Security Investigator.....	\$75
(14) Clerical Employee or Uncategorized Employee of a racing association, intrastate guest association, simulcast organization, simulcast service supplier, totalizator company, horsemen's organization or concessionaire when employed in a restricted area.....	\$75
<u>(1) Association Employee, which includes those hired by a racing association who have access to the licensed inclosure, such as food service, maintenance, media, security, track management, and racing staff.....</u>	<u>\$85</u>
<u>(2) Bloodstock Agent.....</u>	<u>\$165</u>
<u>(3) Corporate Officer.....</u>	<u>\$220</u>
<u>(4) Driver or Provisional Driver.....</u>	<u>\$165</u>
<u>(5) Exercise Rider or Provisional Exercise Rider.....</u>	<u>\$85</u>
<u>(6) Farrier.....</u>	<u>\$85</u>
<u>(7) Jockey Agent.....</u>	<u>\$165</u>
<u>(8) Jockey or Jockey Apprentice.....</u>	<u>\$165</u>
<u>(9) Jockey Valet.....</u>	<u>\$85</u>
<u>(10) Off-track wagering personnel.....</u>	<u>\$100</u>
<u>(11) Open-Claim Owner.....</u>	<u>\$275</u>
<u>(12) Outrider.....</u>	<u>\$85</u>
<u>(13) Owner.....</u>	<u>\$165</u>

<u>(14) Pari-Mutuel employee, including Auditor, Self-Service Terminal Operator, Totalizator Technician, and Pari-Mutuel Manager.....</u>	<u>\$85</u>
<u>(15) Pony Rider.....</u>	<u>\$85</u>
<u>(16) Racing Official, as defined in subsection 19510(c) of the Business and Professions Code.....</u>	<u>\$165</u>
<u>(17) Safety Personnel, including security guard, emergency medical personnel, and public safety official (law enforcement and fire department).....</u>	<u>\$85</u>
<u>(18) Special Event Personnel.....</u>	<u>\$100</u>
<u>(19) Special Event - Temporary, which includes those hired to work for a period of no more than thirty days and who are responsible for security at stakes races or other high-profile events.....</u>	<u>\$35</u>
<u>(20) Stable Agent.....</u>	<u>\$85</u>
<u>(21) Stable Foreman.....</u>	<u>\$85</u>
<u>(22) Stableworker, including those who feed, groom, exercise, train, or conduct other general care of horses.....</u>	<u>\$40</u>
<u>(23) Stakeholder Representative, including clergy, union representative, and representative from a state-recognized organization of trainers or horsepersons.....</u>	<u>\$85</u>
<u>(24) Trainer or Assistant Trainer.....</u>	<u>\$165</u>
<u>(25) Vendor or Vendor Employee, including those who provide goods or services at a facility licensed by the Board.....</u>	<u>\$85</u>
<u>(26) Veterinarian Assistant, including Registered Veterinary Technician and those employed by a Board-licensed veterinarian.....</u>	<u>\$85</u>
<u>(26) Veterinarian.....</u>	<u>\$165</u>

~~(c) A person acting in the capacity of Backstretch Event Personnel, Groom, Provisional Exercise Rider, Stable Employee or Stable Assistant shall procure the appropriate annual license. The fee for an original license is \$35, and the annual renewal of license is \$20.~~

~~(d)~~ A person acting in the capacity of Authorized Agent shall register an authorized agent agreement and registration of authorized agent and pay an application fee of \$2530 for each registration.

(ed) A person or persons electing to conduct racing operations by use of a Stable Name, or stable name group, shall register the Stable Name, or stable name group, and pay an application fee of \$300.

(fe) A person or persons conducting racing operations as a syndicate, an entity running under a stable name group or as a partnership having more than ten general partners or having one or more limited partners shall register the syndicate, entity running under a stable name group or partnership as a multiple ownership and pay an application fee of \$300.

(gf) A person participating in any capacity required to be licensed under this rule who participates or attempts to participate at a mule racing meeting shall procure an annual Mule Racing Participant license and pay an annual application fee of \$25. A license for participating in a mule racing meeting is valid only at mule racing meetings and any license otherwise valid for horse racing meetings is not valid for mule racing meetings.

(hg) A person whose license-identification card is lost, destroyed or mutilated shall procure a replacement license-identification card and pay a fee of \$15.

(ih) A person who elects to participate in the ~~Association of Racing Commissioners International (ARCI) Licensing Reciprocity Program~~ National Racing Compact (NRC) National Licensing Program shall pay the associated costs charged by the ~~ARCI~~ NRC and the Federal Bureau of Investigation.

(ji) The date the payment of the required application fee is received and recorded by the Board is the effective date of issuance of a continuous occupational license for the capacity in which licensed. The application fees required herein are for the entire period for which the issued license is to be valid.

(j) All application fees are non-refundable.

Authority Cited: Sections 19440, 19510, 19520 and 19704,
Business and Professions Code.

Reference: Sections 19510, 19520 and 19704,
Business and Professions Code.

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PROPOSED AMENDMENT OF RULE 1483, APPLICATION FOR LICENSE

(a) An applicant for a license shall apply in writing on ~~the application forms furnished by the Board~~ an Application for License, CHRB-4 (Rev. 02/26), hereby incorporated by reference, which is required for all license applicants. Every applicant for an original license shall provide two (2) or more complete sets of fingerprints ~~on regulation forms via electronic submission through the California Department of Justice's Live Scan Service by completing the California Department of Justice Form "Request for Live Scan Service" and submitting fingerprinting through Live Scan.~~ Applicants requesting an exemption from the electronic submission requirement shall complete an exemption request on a form designated by the Board and provide two (2) or more complete sets of fingerprints on fingerprint cards provided by the Board. Every license identification card issued by the Board shall include a current Board photograph of the licensed person.

(b) The fingerprint requirement will be waived for an owner's license, if the applicant holds an owner's license in good standing from a racing jurisdiction that requires fingerprints and conducts a criminal history inquiry as part of their license application. Additionally, the racing jurisdiction must participate in reciprocity with the Board, and the applicant must provide a completed Fingerprint Affidavit, CHRB-118 (New 1/97 Rev. 09/23), hereby incorporated by reference, is completed. ~~CHRB-118 is available at all CHRB offices.~~

Authority Cited: Sections 19448, 19460, and 19510,
Business and Professions Code.

Reference: Sections 19460, 19510, and 19520,
Business and Professions Code.

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PROPOSED AMENDMENT OF RULE 1486, TERM OF LICENSE

(a) Every occupational license, original or renewal, granted by the Board under this Article, ~~other than a license as a groom, provisional exercise rider, stable employee, stable assistant, backstretch event personnel and mule racing participant~~ shall expire in its third year on the last day of the ~~birth month of~~ in which the license was issued, except as noted in subsection (b) of this section.

(b) ~~Every original occupational license as groom, provisional exercise rider, stable employee, stable assistant, backstretch event personnel and mule racing participant shall expire on the last day of the calendar year in which it is issued. Such original license shall be automatically extended to expire on the last day of the birth month of the licensee. Renewal licenses shall expire on the last day of the birth month of the licensee on an annual basis. Licenses issued to individuals pursuant to subsection (b)(17) of section 1481 of this Article shall expire thirty days after issuance.~~

Authority Cited: Sections 19440 and 19704,
Business and Professions Code.

Reference: Sections 19510, 19520, 19521 and 19704,
Business and Professions Code.

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PROPOSED AMENDMENT OF RULE 1487, ADDRESS OF LICENSEES

Each licensee, applicant for license, or registrant of the Board shall file with the Board his or her permanent Address of Record and, if the Address of Record is a Post Office Box or mail service box, his current mailing physical address, and All address changes shall be report reported in writing to the Board ~~any and all changes to addresses, giving both his old and his new address~~ using the designated form.

Authority Cited: Sections 19440 and 19704,
Business and Professions Code.

Reference: Sections 19510, 19520, 19521 and 19704,
Business and Professions Code.